

# Dealing Instruction

## Corporate/Trust Accounts



Please complete by typing into the highlighted areas or by using black pen and BLOCK CAPITALS. Once the form has been completed and signed, simply email a PDF copy to **investments@nedbankprivatewealth.com**. Trades placed on an Execution Only basis are done so without any reliance being placed on the Bank for advice or judgement as to the merits or suitability of the transaction.

Any FX's will be completed as required by the Bank if the Asset and Settlement Currencies below differ from each other. All tickets should be authorised in accordance with the mandate held on file by Nedbank Private Wealth. Unless you request otherwise in the special instructions box below, any cash amounts instructed by you will not take into account the associated dealing charges. These will be applied post trade and will affect the overall consideration of the trade. The net consideration may therefore be higher for purchases or lower for sales. Please include the currency of any cash instructions.

ACCOUNT NAME(S)		ACCOUNT NUMBER	
CONTACT NAME		CONTACT TELEPHONE NUMBER / EMAIL ADDRESS	

**London Branch Accounts Only** - Please ensure you have provided Nedbank Private Wealth with your Legal Entity Identifier (LEI), if applicable. Please provide the name of the Decision Maker for these trades, (Account Holder or Persons Name or Entity Name) if applicable. Please note: Under the MIFID II regulation, in the absence of this information being held by Nedbank Private Wealth we may be unable to proceed with your trades

Decision Maker

SEDOL/ISIN/TICKER	BUY/SELL/ SWITCH	ORDER SIZE (only complete one or the other)		STOCK NAME (in full)	CURRENCY OF ASSET	CLIENT SETTLEMENT CURRENCY	MARKET ORDER OR LIMIT PRICE	GTC (Good till cancel, please tick if applicable)
		CASH - CURRENCY AND AMOUNT	UNITS/NOMINAL					
SPECIAL INSTRUCTIONS (if applicable)								

**Packaged Retail and Insurance-based Investment Products (PRIIPs) / UCITS Funds** - I/we, the undersigned, acknowledge and confirm that I/we have read and understood the latest version of the Key Information Document (KID) for the PRIIPs and / or the Key Investor Information Document (KIID) for the UCITS fund(s) listed above.

These are Execution-Only trades - We acknowledge that this trade is placed under an execution-only arrangement. We will not receive the same level of protection under the legislation as we would where Nedbank Private Wealth provided investment advice or made recommendations. Nedbank Private Wealth are not obliged to consider the suitability of the holding(s) based on their knowledge of us and taking into consideration our risk appetite. Nedbank Private Wealth will process the trade assuming we have made an informed decision on the basis of our own research, having independently reviewed the product literature or illustrations. Nedbank Private Wealth will not perform any reviews on the continuing suitability, performance, or risk of the investment once the trade has been processed.

AUTHORISED SIGNATORY		AUTHORISED SIGNATORY		DATE (DD/MM/YYYY)	
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Please note that overseas financial products are not subject to the UK's Sustainability Disclosure Requirements (SDR) regime. Overseas funds do not adhere to the SDR's labelling and disclosure standards set forth by the Financial Conduct Authority (FCA). For more information on the SDR regime and its requirements, please visit the FCA's official website: [www.fca.org.uk/consumers/sustainable-investment-labels-greenwashing](http://www.fca.org.uk/consumers/sustainable-investment-labels-greenwashing).

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